



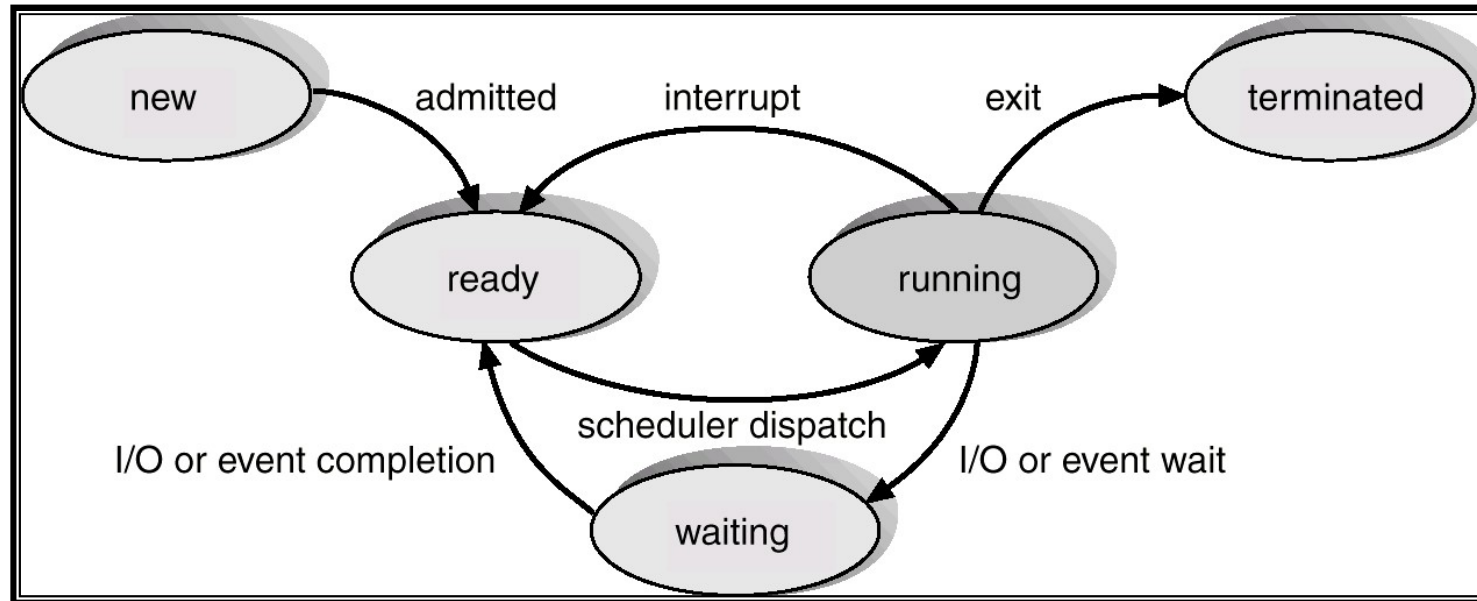
Process in a System

Program vs. Process

- Program
 - ✓ Executable file on a disk
 - ✓ Loaded into memory and executed by the kernel

- Process
 - ✓ Executing instance of a program
 - ✓ The basic unit of execution and scheduling
 - ✓ A process is named using its process ID (PID)
 - ✓ Other IDs associated with a process
 - Real User ID
 - Real Group ID
 - Effective User ID
 - Effective Group ID
 - etc.

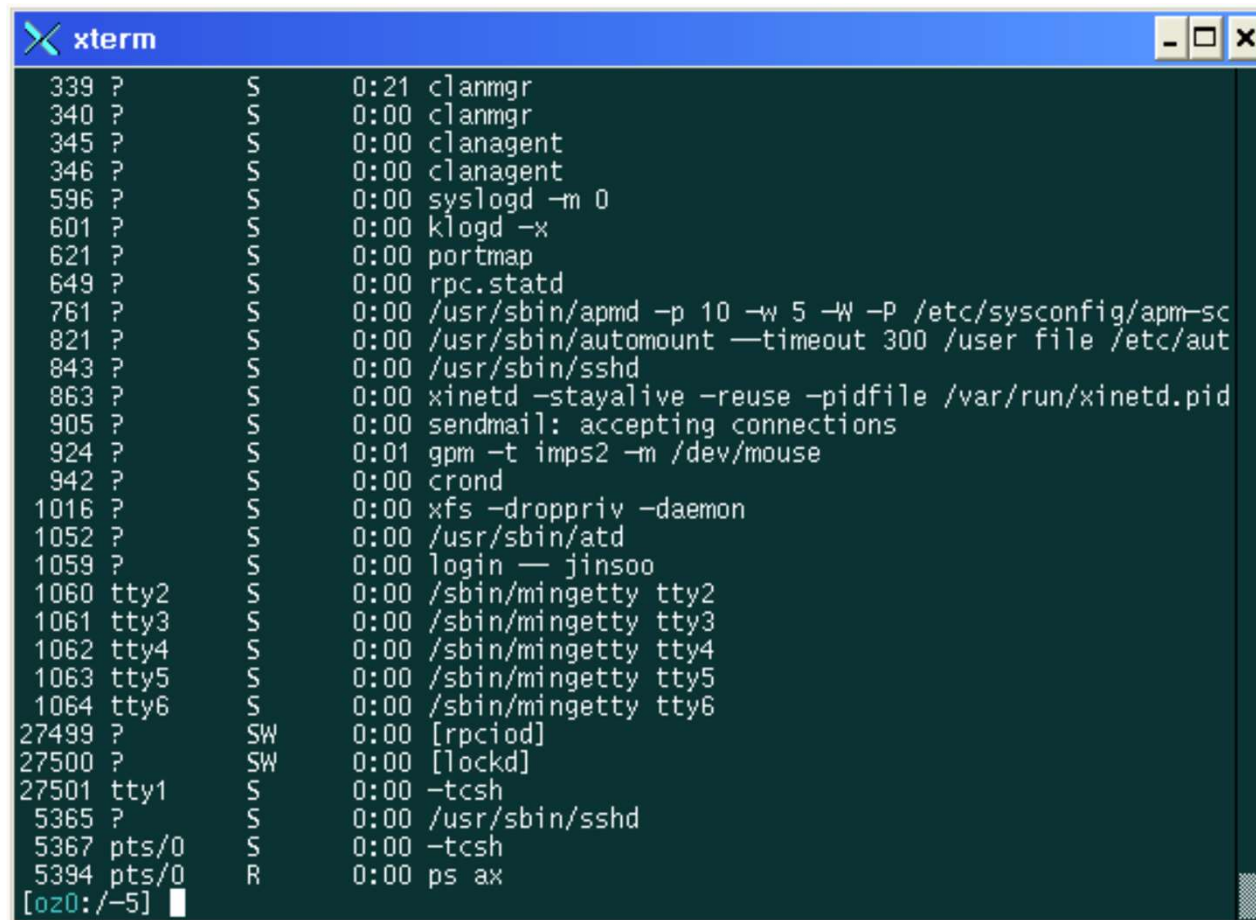
Process State



- new: The process is being created
- running: Instructions are being executed
- waiting: The process is waiting for some event to occur
- ready: The process is waiting to be assigned
- terminated: the process has finished execution

Process State (cont'd)

- <ps> command



```
xterm
339 ?      S        0:21  clamgr
340 ?      S        0:00  clamgr
345 ?      S        0:00  clamagent
346 ?      S        0:00  clamagent
596 ?      S        0:00  syslogd -m 0
601 ?      S        0:00  klogd -x
621 ?      S        0:00  portmap
649 ?      S        0:00  rpc.statd
761 ?      S        0:00  /usr/sbin/apmd -p 10 -w 5 -W -P /etc/sysconfig/apm-sc
821 ?      S        0:00  /usr/sbin/automount --timeout 300 /user file /etc/aut
843 ?      S        0:00  /usr/sbin/sshd
863 ?      S        0:00  xinetd -stayalive -reuse -pidfile /var/run/xinetd.pid
905 ?      S        0:00  sendmail: accepting connections
924 ?      S        0:01  gpm -t imps2 -m /dev/mouse
942 ?      S        0:00  crond
1016 ?     S        0:00  xfs -droppriv -daemon
1052 ?     S        0:00  /usr/sbin/atd
1059 ?     S        0:00  login -- jinsoo
1060 tty2    S        0:00  /sbin/mingetty tty2
1061 tty3    S        0:00  /sbin/mingetty tty3
1062 tty4    S        0:00  /sbin/mingetty tty4
1063 tty5    S        0:00  /sbin/mingetty tty5
1064 tty6    S        0:00  /sbin/mingetty tty6
27499 ?    SW       0:00  [rpciod]
27500 ?    SW       0:00  [lockd]
27501 tty1    S        0:00  -tcsh
5365 ?     S        0:00  /usr/sbin/sshd
5367 pts/0    S        0:00  -tcsh
5394 pts/0    R        0:00  ps ax
[oz0:/-5]
```

R: Runnable
S: Sleeping
T: Traced or Stopped
D: Uninterruptible Sleep
Z: Zombie

IDs associated with a process

- Get various IDs

 - #include <sys/types.h>

 - #include <unistd.h>

 - ✓ pid_t getpid(void);

 - return: process ID of calling process

 - ✓ pid_t getppid(void);

 - return: parent process ID of calling process

 - ✓ uid_t getuid(void);

 - return: real user ID of calling process

 - ✓ uid_t geteuid(void);

 - return: effective user ID of calling process

 - ✓ gid_t getgid(void);

 - return: group ID of calling process

 - ✓ gid_t getegid(void);

 - return: effective group ID of calling process

Create a new process

- fork: the only way a new process is created

```
#include <sys/types.h>
```

```
#include <unistd.h>
```

```
✓ pid_t fork(void);
```

```
✓ return: 0 in child, process ID of child in parent, -1 on error
```

```
#include <stdio.h>
#include <sys/types.h>
#include <unistd.h>
main() {
    int pid;
    if ((pid = fork()) == 0)
        /* child */
        printf("I am %d. My parent is %d\n", getpid(), getppid());
    else
        /* parent */
        printf("I am %d. My child is %d\n", getpid(), pid);
}
```

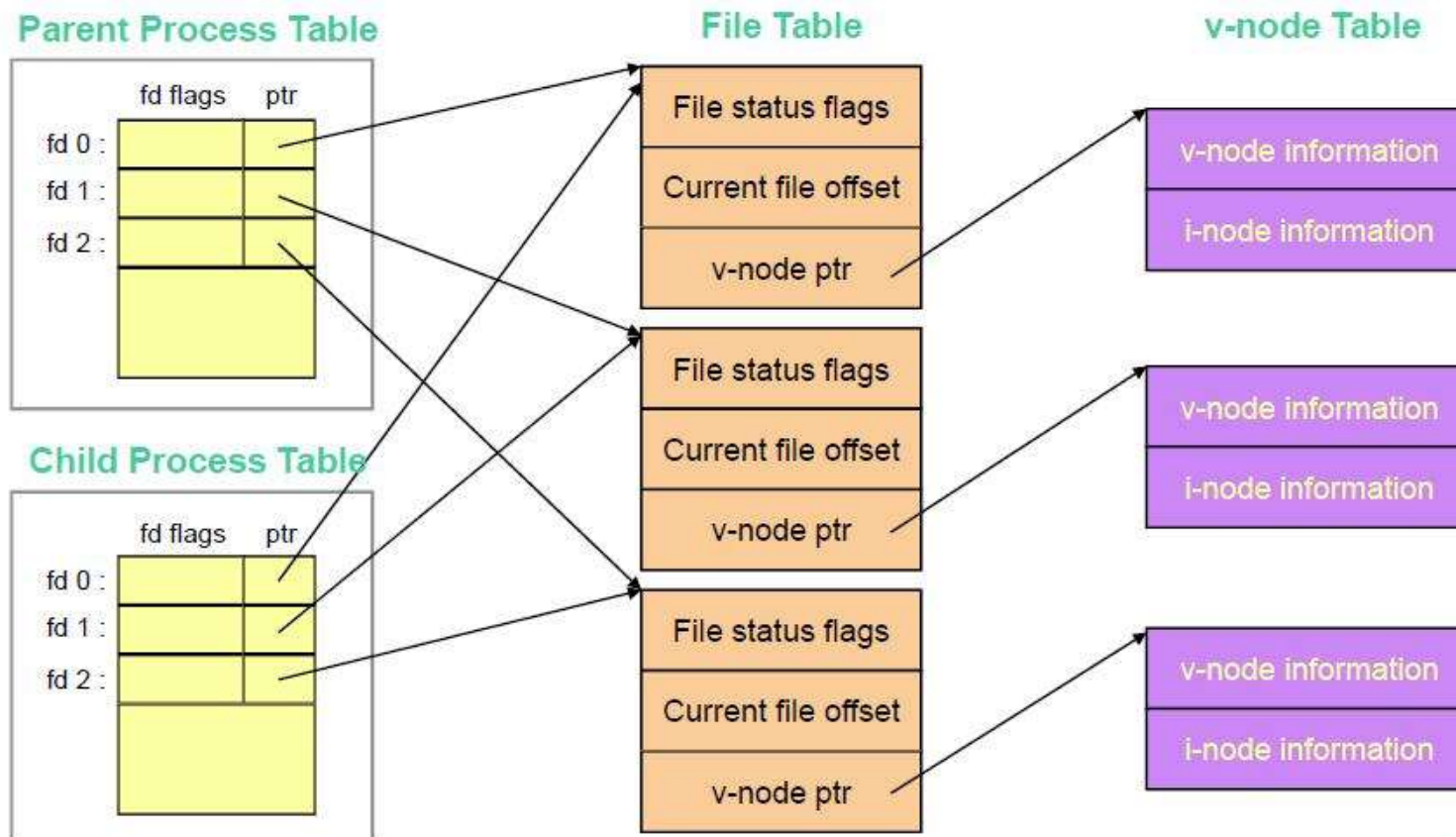
Create a new process (cont'd)

- Why fork() ? → Very useful when the child..
 - ✓ is cooperating with the parent
 - ✓ relies upon the parent's data to accomplish its task
 - ✓ example: Web server

```
while (1) {  
    int sock = accept();  
    if ((pid = fork()) == 0) {  
        /* Handle client request */  
  
    } else {  
        /* Close socket */  
  
    }  
}
```

Create a new process (cont'd)

- Sharing of open files between parent and child after fork





Exercise

- fork example

```
$ arm-linux-gnueabi-gcc -o fork fork.c (or make fork)
```

move "fork" to the target board

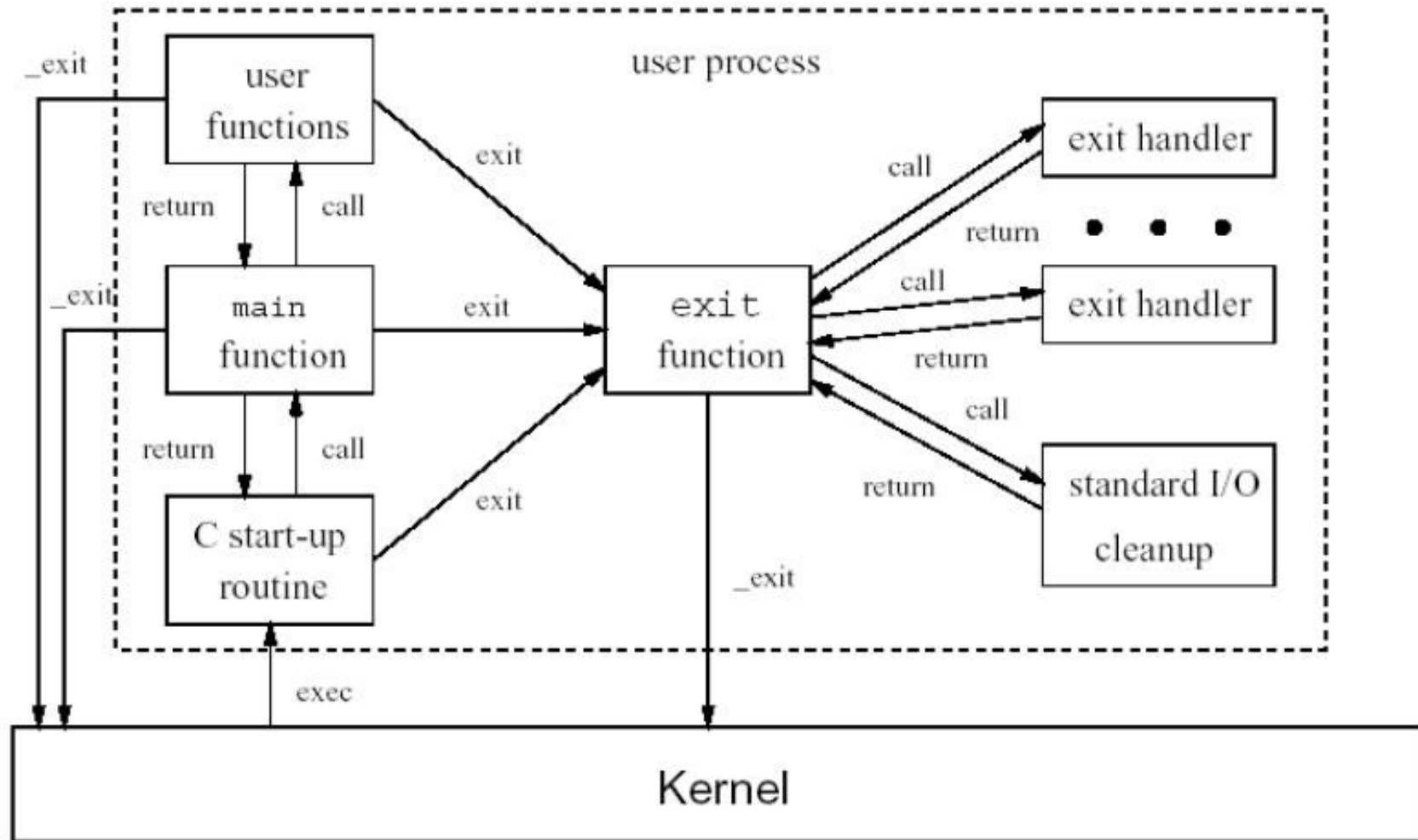
```
$/fork
```



Terminate a process

- Normal termination
 - ✓ return from main()
 - ✓ calling exit()
 - ✓ calling _exit()
- Abnormal termination
 - ✓ calling abort()
 - ✓ terminated by a signal

Start and Termination of a C program



Terminate a process

- exit

- #include <stdlib.h>

- ✓ void exit(int status);

- ✓ return: 0 if OK, nonzero on error

- #include <unistd.h>

- ✓ void _exit(int status);

- ✓ return: 0 if OK, nonzero on error

- Register an exit handler

- #include <stdlib.h>

- ✓ int atexit(void (*func)(void));

- ✓ return: 0 if OK, nonzero on error



Exercise

- atexit example

```
$ arm-linux-gnueabi-gcc -o exit exit.c (or make exit)
```

move "exit" to the target board

```
$/exit
```

Wait for process termination

- wait

- #include <sys/types.h>

- #include <sys/wait.h>

- ✓ pid_t wait(int *statloc);

- ✓ pid_t waitpid(pid_t pid, int *statloc, int options);

- ✓ return: process ID if OK, 0 or -1 on error

- With **WNOHANG** option, waitpid will not block if a child specified by pid is not immediately available. In this case, the return is 0

- ✓ The calling process will

- block (if all of its children are still running)

- return immediately with the termination status of a child (if a child has terminated and is waiting for its termination status to be fetched)

- return immediately with an error (if it doesn't have any child processes)

Exercise

- wait example
 - \$ arm-linux-gnueabi-gcc -o wait wait.c (or make wait)
 - \$./wait (in the target board)

- a program with race condition
 - \$ arm-linux-gnueabi-gcc -o race race.c (or make race)
 - \$./race (in the target board)

- modification to avoid race condition using wait system call
 - \$ arm-linux-gnueabi-gcc -o worace worace.c (or make worace)
 - \$./worace (in the target board)

Execute another program in a program

- exec

- #include <unistd.h>

- ✓ int execl(char *pathname, char *arg0, ... /* (char *) 0 */);
 - ✓ int execv(char *pathname, char *argv[]);
 - ✓ int execlp(char *pathname, char *arg0, ... /* (char *) 0, char *envp[] */);
 - ✓ int execve(char *pathname, char *argv[], char *envp[]);
 - ✓ int execlp(char *filename, char *arg0, ... /* (char *) 0 */);
 - ✓ int execvp(char *filename, char *argv[]);
 - ✓ return: -1 on error, no return on success

Execute a command string in a program

- system

- #include <stdlib.h>

- ✓ int system(char *cmdstring);
 - ✓ return: termination status of the shell if OK, -1 on error
 - ✓ system is implemented by calling fork, exec, and waitpid

```
#include <stdio.h>
#include <stdlib.h>
main()
{
    system("ls -al");
    system("date");
    system("who");
}
```

Exercise

- Access environment variables

```
$ arm-linux-gnueabi-gcc -o env env.c (or make env)
```

```
$ ./env
```

- exec example

```
$ arm-linux-gnueabi-gcc -o exec exec.c (or make exec)
```

```
$ ./exec
```

- system example

```
$ arm-linux-gnueabi-gcc -o system system.c (or make system)
```

```
$ ./system
```

Thread

- Why thread?
 - ✓ Web server example using thread
 - We can create a new thread for each request

```
webserv()
{
    while(1) {
        int sock = accept();
        thread_fork(handle_request, sock);
    }
}

Handle_request(int sock)
{
    /* process request */
    close(sock);
}
```



Thread (cont'd)

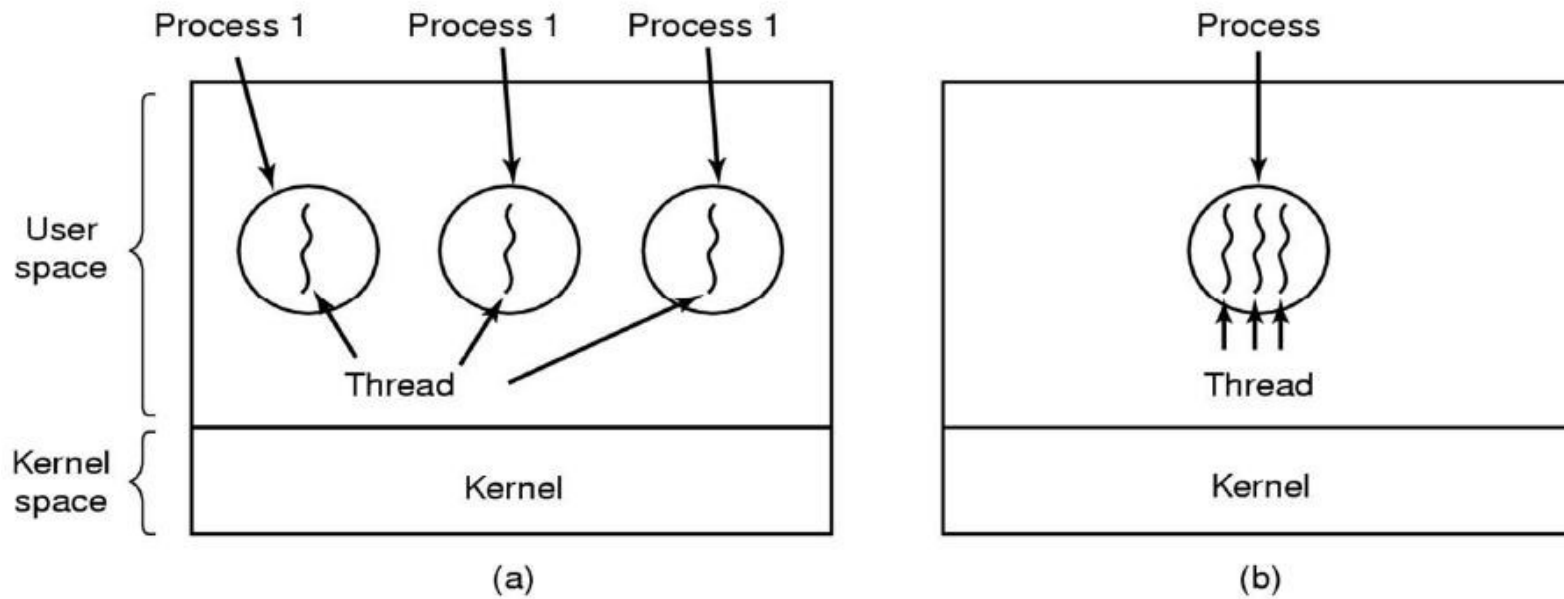
- Why thread? (cont'd)
 - ✓ Responsiveness
 - ✓ Resource sharing
 - ✓ Economy
 - ✓ Utilization of MP architectures

Thread concept

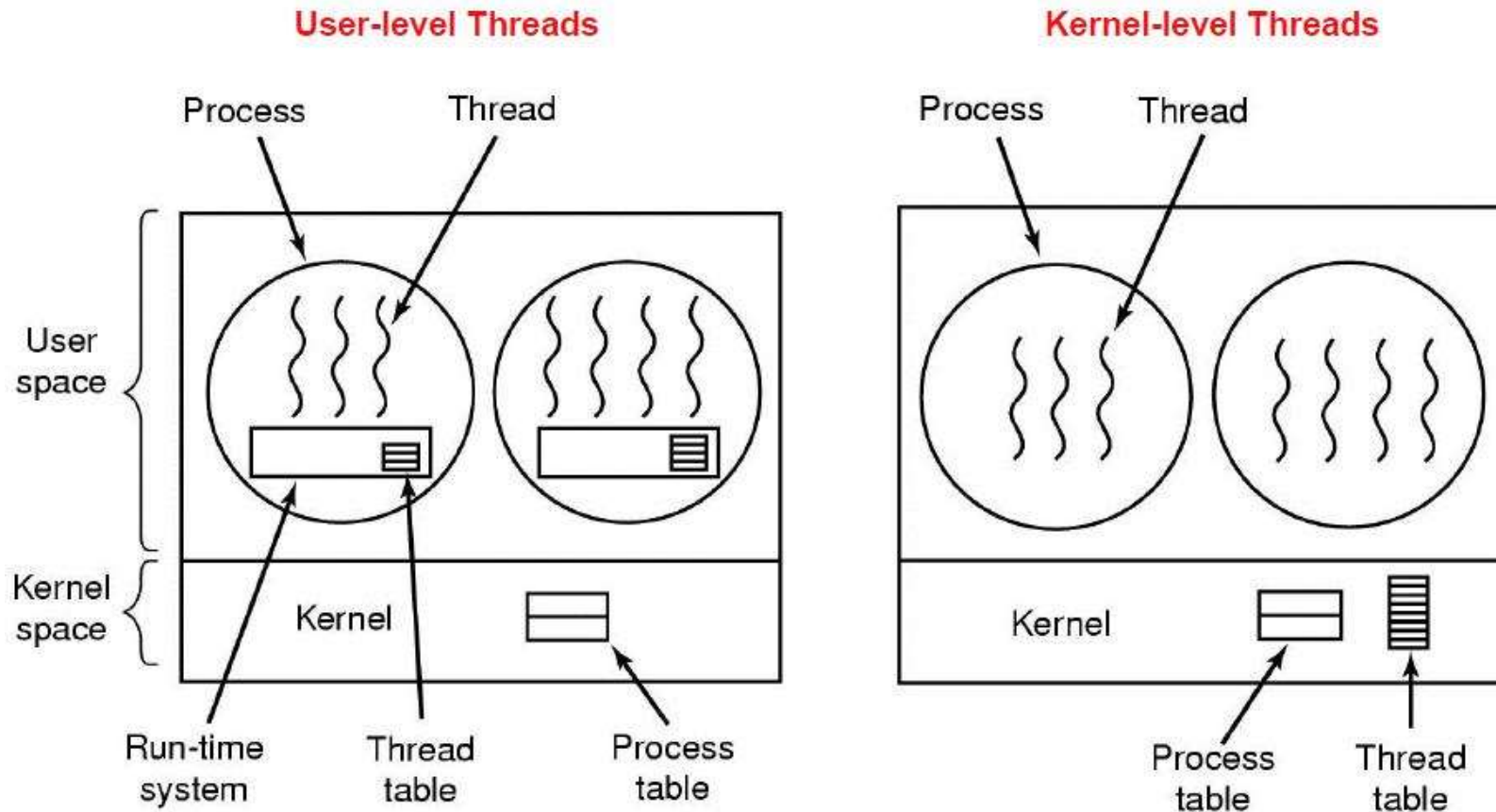
- Separate the concept of a process from its execution state
 - ✓ Process: address space, resources, other general process attributes
 - ✓ Execution state: PC, SP, registers, etc.

- ✓ This execution state is usually called
 - A thread of control
 - A thread, or
 - A lightweight process (LWP)

Thread concept (cont'd)



Thread implementation



Thread implementation (cont'd)

- User-level threads
 - ✓ The user-level threads library implements thread operations
 - ✓ They are small and fast
 - ✓ User-level threads are invisible to the OS
 - ✓ OS may make poor decisions
 - E.g. blocking I/O
- Kernel-level threads
 - ✓ All thread operations are implemented in the kernel
 - ✓ The OS schedules all of the threads in a system
 - ✓ Kernel threads are cheaper than processes
 - ✓ They can still be too expensive

Thread implementation (cont'd)

- Pthreads
 - ✓ A POSIX standard (IEEE 1003.1c) API for thread creation and synchronization
 - ✓ API specifies behavior of the thread library, implementation is up to development of the library
 - ✓ Common in UNIX operating systems
 - ✓ Link with *-lpthread* option

- Linux implementation
 - ✓ Kernel-level implementation, but..
 - a modified process(or task) per thread
 - ✓ System call `clone()` for thread creation
 - ✓ NGPT (Next Generation POSIX Threading) by IBM

Pthread libraries for thread control

- Create a thread

 - #include <pthread.h>

 - ✓ int pthread_create(pthread_t *tid, pthread_attr_t *attr,
void *(start_routine)(void *), void *arg);

 - ✓ return: 0 if OK, nonzero on error

- Terminate a thread

 - #include <pthread.h>

 - ✓ void pthread_exit(void *retval);

- Wait for termination of another thread

 - #include <pthread.h>

 - ✓ int pthread_join(pthread_t tid, void **tread_return);

 - ✓ return:0 if OK, nonzero on error

Exercise

- Pthread example

```
$ arm-linux-gnueabi-gcc -o thread thread.c -lpthread (or make thread)
$ ./thread
```

- Command-line process: iteration version using one process

```
$ arm-linux-gnueabi-gcc -o cmd_i cmd_i.c (or make cmd_i)
$ ./cmd_i
CMD> doit
Doing doit
Done
CMD> quit
```

- Command-line processor: a process per command

```
$ arm-linux-gnueabi-gcc -o cmd_p cmd_p.c (or make cmd_p)
$ ./ cmd_p
```

- Command-line processor: a thread per command

```
$ arm-linux-gnueabi-gcc -o cmd_t cmd_t.c (or make cmd_t)
$ ./ cmd_t
```



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